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PATENT
Docket No. 377882001300

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Tamara Alcaraz

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

GARY VAN NEST and Joseph J. EIDEN, Jr.

Serial No.: 09/802,445

Filing Date: March 9, 2001

For: METHODS OF REDUCING
PAPILLOMAVIRUS INFECTION
USING IMMUNOMODULATORY
POLYNUCLEOTIDE SEQUENCES

Examiner: D. Sullivan

Group Art Unit: 1636

SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

10/21/2002 HRRKZ11 00000092 031952 09802445

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This Supplemental Information Disclosure Statement is submitted:

- ☐ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☒ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of * is enclosed.
 - ☒ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a check in the amount of * is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below and a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petitionsfor any required relief including extensions of time and authorize the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 377882001300. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: October 18, 2002

Respectfully submitted,

By: Jill A. Jacobson
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Considered DS

Form PTO-1449

Docket Number 377882001 300

Application Number 09/802,445

**INFORMATION DISCLOSURE CITATION
ON AN APPLICATION**

OCT 18 2002

(Use several sheets if necessary)

Applicant

Gary VAN NEST and Joseph J. EIDEN, Jr.

Filing Date March 9, 2001

Group Art Unit 1636

Mailing Date October 18, 2002

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U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	04/01/1997	5,616,461	Schafer et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES NO
	2.	10/26/2000	WO 00/62802 A2, A3 ✓	WIPO			
	3.	01/11/2001	WO 01/02007 A1 ✓	WIPO			
	4.	08/02/2001	WO 01/55341 A2, A3 ✓	WIPO			
	5.	09/20/2001	WO 01/68077 A2, A3 ✓	WIPO			
	6.	09/20/2001	WO 01/68078 A2, A3 ✓	WIPO			
	7.	09/20/2001	WO 01/68103 A2, A3 ✓	WIPO			
	8.	09/20/2001	WO 01/68116 A2, A3 ✓	WIPO			
	9.	09/20/2001	WO 01/68143 A2, A3 ✓	WIPO			
	10.	09/20/2001	WO 01/68144 A2, A3 ✓	WIPO			
	11.	10/18/2001	WO 01/76642 A1 ✓	WIPO			

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	12.	Davis, H. et al. (1998). "CpG DNA is a Potent Enhancer of Specific Immunity in Mice Immunized with Recombinant Hepatitis B Surface Antigen," <i>Journal of Immunology</i> 160(2):870-876. ✓
	13.	Dolin. (1985). "Antiviral Chemotherapy and Chemoprophylaxis," <i>Science</i> 227:1296-1303. ✓
	14.	Shigeta, S. (1998). "Approaches to Antiviral Chemotherapy for Acute Respiratory Infections," <i>Antiviral Chemistry & Chemotherapy</i> 9:93-107. ✓
	15.	Stratagene. (1988). <u>Catalog: Gene Characterization Kits</u> , p. 39. (Table of Contents) ✓

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.